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16569 U.S. PTO

**UTILITY
PATENT APPLICATION
TRANSMITTAL**(Only for new nonprovisional applications
under 37 CFR 1.53(b))

Attorney Docket No.

16313-0269

Named Inventor(s)

Oswaldo da Costa e Silva, Hans J. Bohnert, Nocha Van
Thielen, Ruoying Chen and Manabu Ishitani

Title

Phosphatase Stress-Related Proteins and Methods of Use
in Plants

Express Mail Label No.

EL 972 592 470 US

U.S. PTO
10/764259
17548**APPLICATION ELEMENTS**ADDRESS TO: Commissioner for Patents
Mail Stop: Patent Application
P.O. Box 1450
Alexandria, VA 22313-1450**ACCOMPANYING APPLICATION PARTS**

1. ☒ Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.

3. ☒ Specification, Claims,
and Abstract Total Pages **78**
4. ☒ Drawings Total Sheets **10**

5. Oath or Declaration Total Pages **10**

- a. ☐ Newly executed (original or copy)
- b. ☒ Copy from prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17
completed)
- (i) ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting
inventor(s) named in the prior
application, see 37 CFR 1.63(d)(2)
and 1.33(b).

6. ☒ Incorporation by Reference
(usable if Box 5b is checked)
The entire disclosure of the prior application, from
which a copy of the oath or declaration is supplied
under Box 5b, is considered as being part of the
disclosure of the accompanying application and is
hereby incorporated by reference therein.

7. ☐ Applicant does not intend to file this application in
any foreign country, and requests that this
application NOT BE PUBLISHED.

8. ☐ Application Data Sheet. See 37 CFR 1.76

9. ☐ CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)

10. ☒ Nucleotide and/or Amino Acid Sequence
Submission (if applicable, all necessary)
- a. ☒ Computer Readable Form (CRF)
- b. ☐ Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☒ paper
- c. ☒ Statement verifying identity of above
copies

11. ☒ Assignment:
- a. ☐ Assignment Papers (cover sheet &
document(s))
- b. ☒ Assignment is of record in parent
application No. 09/828,302

12. ☐ 37 CFR 3.73(b) Statement
(when there is an assignee)
☐ Power of Attorney by assignee

13. ☐ English Translation Document (if applicable)

14. ☒ Information Disclosure Statement (IDS) PTO-
1449
☐ Copies of IDS Citations

15. ☒ Preliminary Amendment

16. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)

17. ☐ Certified Copy of Priority Document(s)

18. ☐ Request and Certification under 35 U.S.C.
122(b)(2)(B)(i). Applicant must attach form
PTO/SB/35 or its equivalent.

19. ☒ Other: **Claims priority to U.S. Nonprovisional
Application No. 09/828,302 filed April 6, 2001, and
U.S. Provisional Application 60/196,001 filed April
7, 2000.**

17. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information and in a preliminary
amendment, or in an Application Date Sheet under 37 CFR 1.76:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No: **09/828,302**

Prior application information: Examiner: **Cynthia Collins** Group Art Unit: **1638**

Recite complete dependency back to first parent application: **Claims priority to U.S. Nonprovisional App. 09/828,302
filed April 6, 2001 and U.S. Provisional App. 60/196,001 filed April 7, 2000.**

18. **CORRESPONDENCE ADDRESS:**
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Reg. No. 54,682

FEE TRANSMITTALAttorney Docket No. **16313-0269**

This sheet accompanies a patent application transmittal for the following application:

Inventor(s): **Oswaldo da Costa e Silva et al.**Filing Date: **January 23, 2004**Title: **Phosphatase Stress-Related Proteins and Methods of Use in Plants**

The filing fee is calculated as shown below:

1. FILING FEE:

SMALL ENTITY			LARGE ENTITY	
FOR:	FEE	FEE PAID	FEE	FEE PAID
<input checked="" type="checkbox"/> UTILITY FILING FEE	\$385		\$770	770.00
<input type="checkbox"/> DESIGN FILING FEE	\$170		\$340	
<input type="checkbox"/> PLANT FILING FEE	\$265		\$530	
<input type="checkbox"/> REISSUE FILING FEE	\$385		\$770	
<input type="checkbox"/> PROVISIONAL FILING FEE	\$80		\$160	
SUBTOTAL (1)		\$		\$770.00

2. CLAIMS:

SMALL ENTITY				LARGE ENTITY		
FOR:	NO. FILED	NO. EXTRA	RATE	FEE	RATE	FEE
TOTAL CLAIMS	44 - 20 =	24	x 9 =		x 18 =	432.00
INDEP. CLAIMS	9 - 3 =	6	x 43 =		x 86 =	516.00
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED			+145 =		+290 =	
SUBTOTAL (2)				\$		\$948.00

3. ADDITIONAL FEES:

SMALL ENTITY			LARGE ENTITY	
FOR:	FEE	FEE PAID	FEE	FEE PAID
<input type="checkbox"/> LATE FILING, FEE OR OATH	\$65		\$130	
<input type="checkbox"/> NON-ENGLISH SPECIFICATION	\$130		\$130	
<input type="checkbox"/> OTHER				
SUBTOTAL (3)		\$		\$

TOTAL FILING FEES: \$1,718.00A check is enclosed for the total amount: **\$1,718.00**☒ Charge any additional fees required under 37 C.F.R. 1.16 or 1.17 to Deposit Account 19-5029.

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Date: January 23, 2004

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